

Notice of References Cited

Application/Control No.

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Applicant(s)/Patent Under
Reexamination
GINSBERG, PHILIP M.

Examiner

HARISH T. DASS

Art Unit

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U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2002/0169703	11-2002	LUTNICK et al.	705/37
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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	P					
	Q					
	R					
	S					
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U	BT "ONLINE FIRMS BEGINNING TO PROVIDE RETAIL INVESTORS WITH OPTION TO DIRECT ORDERS"; Securities Week; January 18, 1999 (SECTION: SPECIAL SECTION; SECURITIES INDUSTRY INTERNET UPDATE; Vol. 26, No. 3; Pg. 5)
*	V	Lucas- Daxim L. Lucas "Trading from wherever, whenever (Online stock investments)"; BusinessWorld; August 20, 2001, Monday
*	W	Pat Cifaldi "With Globex, Brokers Could Be Trading in a Market That Never Sleeps"; The Business Journal-Milwaukee; September 19, 1992 (SECTION: Vol 9; No 50; Sec 2; pg 10; Milwaukee; WI; US).
	X	IRS releases rough outline of global trading tax deals; Pratt, tom.; The investment Dealers' Digest: IDD. New York, Apr 18, 1994.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.